



281;

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/028,922	
	Filing Date	12/19/2001	
	First Named Inventor	Daniel Hoffman, et al.	
	Group Art Unit	2817	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	4	Attorney Docket Number	4097 P02

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		RECEIVED NOV 27 2002 TECHNOLOGY CENTER 2800

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Robert M. Wallace Reg. No. 29,119	RECEIVED OCT 06 2003 TECHNOLOGY CENTER R3700
Signature	<i>Robert M. Wallace</i>	
Date	11/19/2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>November 19, 2002</u>			
Typed or printed name	Shelly Hart		
Signature	<i>Shelly Hart</i>	Date	11/19/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

paper referred to therein as being enclosed
is deposited with the U.S. Postal Service
as first class mail, postage prepaid, in
an envelope addressed to: Assistant Commissioner
for Patents, Washington, D.C. 20231,
on November 19, 2001 (Date of Deposit)



PATENT

Attorney Docket No. 4097 P02
M&W No. APM/063-99-CP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:
Daniel Hoffman, et al.

Entitled: PLASMA REACTOR WITH OVERHEAD
RF ELECTRODE TUNED TO THE PLASMA

Serial No.: 10/028,922

Filing Date: December 19, 2001

)
) Group Art Unit: 2817
)
) Examiner: Unknown
)
)
)
)

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents
United States Patent & Trademark Office
Washington, D.C. 20231

RECEIVED
NOV 27 2002
TECHNOLOGY CENTER 2500

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

RECEIVED

OCT 06 2003

TECHNOLOGY CENTER R3700

A copy of each document is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,

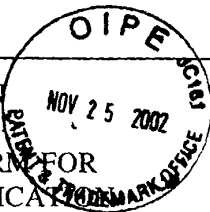


Dated: November 19, 2002

Robert M. Wallace
Registration No. 29,119
Attorney for Applicants

Robert M. Wallace, Patent Counsel
2112 Eastman Avenue, Suite 102
Ventura, CA 93003
(805) 644-4035

INFORMATION
DISCLOSURE
CITATION FORM FOR
PATENT APPLICATION
(FORM PTO - 1449)



Docket No.: 4097 P02

Serial No.: 10/028,922

Applicant(s): HOFFMAN, ET AL.

Filing Date: 12-19-01

Group: 2817

U. S. PATENTS

Initials	Patent No	Issue Date	Name	Class	Subclass	Filing Date
	5,554,223	09-10-96	IMAHASHI	118	732	03-04-94
	5,595,627	01-21-97	INAZAWA ET AL.	156	643.1	02-02-96
	5,660,671	08-26-97	HARADA ET AL.	156	345	06-28-94

FOREIGN PATENT DOCUMENTS

Initials	Document Number	Date	Country	Name	Translation? (Yes/No/n/a)

Examiner's Signature:

Date Considered:

Initial if reference was considered, whether or not citation with MPEP. Mark through citation if not considered. Include a copy of this citation form with your next correspondence to the Applicant(s).

RECEIVED
OCT 06 2003
TECHNOLOGY CENTER R3700

RECEIVED
NOV 27 2002
TECHNOLOGY CENTER R3700